

Employer resource assessment – Apprentice/s (qual name): _____**TO BE COMPLETED AND RETAINED BY THE SUPERVISING REGISTERED TRAINING ORGANISATION FOR AUDIT PURPOSES.**

This form is made available to assist a supervising registered training organisation (SRTTO) to comply with their obligations under the *Further Education and Training Act 2014* (FET Act).

Apprenticeships and traineeships are pathways to obtain a qualification or statement of attainment by completing employment based training with an employer. It is essential the employment arrangements, including facilities, range of work and supervision, support an apprenticeship or traineeship outcome. The SRTTO plays an integral role in assessing an employer's capacity to provide the facilities, range of work and supervision when the SRTTO prepares the training plan required for the apprenticeship or traineeship.

Before the Department of Employment, Small Business and Training (DESBT) can register a training contract for an apprentice or trainee, Section 17(5)(f)(i&ii) of the FET Act requires a registered training organisation (RTO) to accept the nomination to become the SRTTO, and to prepare a training plan including an assessment of the employer's capacity to provide, or arrange to provide, the facilities, range of work and supervision required under the training plan. Section 74 of the FET Act requires the SRTTO to take all reasonable steps to ensure the training plan is signed within 3 months of the start of the apprenticeship or traineeship, or within 28 days of a change of SRTTO or permanent transfer. Changes to the training plan and/or the commencement of additional apprentices in the workplace will require the SRTTO to review the employer resource assessment and retain evidence of the review.

If the employer is unable to provide, or arrange to provide the training resources necessary to achieve the outcomes of the training plan, and is unwilling/unable to negotiate alternative arrangements the SRTTO **must not commit** to the training plan and **must immediately** advise the employer, the Australian Apprenticeship Support Network (AASN) provider and DESBT.

Where the employer is a GTO or PEO

In the case of a group training organisation (GTO) or principal employer organisation (PEO) the SRTTO is required to conduct an employer resource assessment of the first host employer's workplace. For permanent transfers where the new employer is a GTO/PEO, an ERA will be required for the first host employer.

Privacy declaration

In completing this form, the SRTTO named confirms that where any personal information is included in the answers provided, the SRTTO:

- has taken all reasonable steps to satisfy that the relevant person has provided their consent (either express or implied) to the SRTTO disclosing that information to DESBT; or
- is satisfied that it is otherwise authorised or required under a law to disclose that information to DESBT or the AASN provider.

For the purposes of this form, 'personal information' means information or an opinion, including information forming part of a database, whether true or not, and whether recorded in material form or not, about an individual whose identity is apparent, or can reasonably be ascertained from the information or opinion.



Employer resource assessment

| TRAINING CONTRACT DETAILS | |
|--|--|
| Employer trading name: | |
| Host employer trading name: (GTO/PEO contracts only) | |
| Workplace where apprentice will be employed: (actual site of employment where apprentice works, not head office location) | |
| Qualification name: | |
| Apprentice's name: (Note: When there is more than one apprentice in the workplace the SRT0 must complete APPENDICES ONE and TWO) | |
| RANGE OF WORK, WORKPLACE FACILITIES AND EQUIPMENT (Note: Please attach additional information if there is insufficient space) | |
| Employers must be able to provide, or arrange to provide apprentices work tasks aligned to the qualification being undertaken. Note: The negotiation and establishment of the training plan requires the SRT0 to identify any units of competency where the workplace cannot provide suitably aligned tasks. The training plan specifies these units must be recorded in the ERA with the alternate arrangements for training and assessment. | |
| Units of competency from the training plan for which the employer is unable to provide aligned workplace tasks. Detail the units and how the training and assessment will be undertaken by the SRT0 e.g. temporary placement, simulated assessment, group training. | |
| Unit of competency detail | How training and assessment will occur |
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| Is the employer capable of supplying adequate facilities in this workplace? <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| If not, indicate the alternative arrangements being put in place to address this issue: | |
| | |



NUMBER OF APPRENTICES TO QUALIFIED PERSONS (SUPERVISORS)

As part of the SRTTO's responsibility to assess an employer's capacity to provide adequate training arrangements, the SRTTO is to determine if the apprentice's supervisor can:

- supervise other apprentices at a workplace where the apprenticeship is being completed; or
- **not** supervise any other apprentices at a workplace where the apprenticeship is being completed.

Where an SRTTO determines a supervisor can supervise other apprentices, they must justify how an employer is providing adequate supervision.

For the purposes of the training arrangements under the Further Education and Training Act 2014, a **qualified person** for apprenticeships is:

1. A person who has satisfactorily completed an apprenticeship in the apprentice's calling, and is the holder of a completion certificate issued under an Act, **or**
 2. A person who holds a certificate of recognition issued under an Act, certifying the person has the necessary skills and knowledge in the calling, **or**
 3. A tradesperson in the apprentice's calling, as defined under a specific industrial instrument, **or**
 4. A person who holds a tradesperson's certificate or certificate of recognition as a recognised tradesperson issued under the Tradespersons' Rights Regulation Act 1946 in the apprenticeship calling, **or**
 5. A person who holds a relevant qualification in the apprenticeship calling, **or**
 6. A person individually, or persons collectively, who has/have documented competence (i.e. a testamur/qualification and associated record of results or a statement of attainment as recognised under the Australian Qualifications Framework, achieved through an RPL or training pathway) in all the competencies the employer is required to provide training for under the apprentice's training plan
- and,**
where a licence to practise the calling is required, the qualified person holds a current licence.

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| Total number of full-time apprentices in the identified qualification, in the workplace: | |
| Total number of school based or part-time apprentices in the identified qualification, in the workplace: | |
| Number of qualified persons in all the competencies the employer is required to provide training for under the apprentice's training plan: | |
| Where there are more apprentices than qualified persons, provide a summary of why supervision arrangements have been determined sufficient (Note: When there is more than one apprentice in the workplace the SRTTO must complete APPENDICES ONE and TWO) | |



| SUPERVISION OF TRAINING (Note: Please attach additional information if there is insufficient space) | | | |
|---|--|-----------------------------------|--|
| <p>List the appropriately qualified staff employed by the employer who will supervise the apprentice in the workplace. The apprentice/s must have immediate access to the appropriately qualified staff in the same workplace and predominately during the same working hours. If a copy of the supervisor's qualification is not made available to the SRT0, the SRT0 must document relevant experience and alignment to the definition of a qualified person.</p> | | | |
| Name: | | Qualification/certificate: | |
| If qualification/certificate is not in the same calling/occupation as the apprenticeship please provide additional information to support the supervisory requirements, provide details of how they demonstrate competence as per criteria 6 in the definition of a qualified person, listed on page 3. | | | |
| | | | |
| Name: | | Qualification/certificate: | |
| If qualification/certificate is not in the same calling/occupation as the apprenticeship please provide additional information to support the supervisory requirements, provide details of how they demonstrate competence as per criteria 6 in the definition of a qualified person, listed on page 3. | | | |
| | | | |
| Name: | | Qualification/certificate: | |
| If qualification/certificate is not in the same calling/occupation as the apprenticeship please provide additional information to support the supervisory requirements, provide details of how they demonstrate competence as per criteria 6 in the definition of a qualified person, listed on page 3. | | | |
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| Name: | | Qualification/certificate: | |
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Penalties apply for any false or misleading information provided to DESBT.

| EMPLOYER DECLARATION | | | |
|--|--|--------------|--|
| I, the employer, declare that: | | | |
| <ul style="list-style-type: none"> From the date of commencement of the training contract, I have provided, or arranged to provide, the apprentice with the facilities, range of work, supervision and training required under the apprentice's training plan. Should the circumstances change in relation to my ability to continue to provide, or arrange to provide, the apprentice with the facilities, range of work, supervision and/or training, I will advise the supervising registered training organisation. I understand any changes to the circumstances in relation to my ability to provide, or arrange to provide, the apprentice with the facilities, range of work, supervision and/or training may result in DESBT cancelling the training contract. | | | |
| Employer's signature: | | Date: | |
| Name of authorised person signing for the employer: (please print) | | | |

| SRTTO DECLARATION | | | | |
|--|--|---|---|--------|
| I, the SRTTO, declare that: | | | | |
| <ul style="list-style-type: none"> I have conducted a thorough and accurate assessment of the employer's facilities, range of work, supervision and ability to train the apprentice or trainee and have determined that the employer is able to provide, or arrange to provide, the facilities, range of work, supervision and training required under the apprentice's training plan. Where alternative arrangements regarding the range of work and/or facilities have been identified, these arrangements have been clearly identified in the apprentice's training plan. I understand that DESBT will audit the process I conducted in assessing the employer's facilities, range of work, supervision and ability to train the apprentice. I understand where it is determined that there are issues with the evidence and/or process in relation to the assessment of the employer's facilities, range of work, supervision and/or ability to train the apprentice, recovery of funds and/or cancellation of the training contract may result. | | | | |
| Name of SRTTO: | | | | |
| ERA conducted via: (X all that apply) | <input type="checkbox"/> Workplace visit | <input type="checkbox"/> Phone or email | <input type="checkbox"/> Skype (or similar) | Other: |
| SRTTO's signature: | | Date: | | |
| Name of authorised person signing for SRTTO: (please print) | | | | |

| APPRENTICE DECLARATION | | | |
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| I, the apprentice, declare that: | | | |
| <ul style="list-style-type: none"> I understand the requirement to keep up to date with training, and I need to have obtained a sufficient range of workplace experience prior to the completion of my apprenticeship. | | | |
| Name of apprentice: | | | |
| Apprentice's signature: | | Date: | |



[illegible][illegible]

This **APPENDIX TWO** is only required where there is more than one apprentice in the workplace in the same qualification.

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| APPRENTICE DECLARATION | | | |
| I, the apprentice, declare that I understand the requirement to keep up to date with training, and I need to have obtained a sufficient range of workplace experience prior to the completion of my apprenticeship. | | | |
| Name of apprentice | | | |
| Apprentice's signature: | | Date: | |

| | | | |
|---|--|--------------|--|
| APPRENTICE DECLARATION | | | |
| I, the apprentice, declare that I understand the requirement to keep up to date with training, and I need to have obtained a sufficient range of workplace experience prior to the completion of my apprenticeship. | | | |
| Name of apprentice | | | |
| Apprentice's signature: | | Date: | |

| | | | |
|---|--|--------------|--|
| APPRENTICE DECLARATION | | | |
| I, the apprentice, declare that I understand the requirement to keep up to date with training, and I need to have obtained a sufficient range of workplace experience prior to the completion of my apprenticeship. | | | |
| Name of apprentice | | | |
| Apprentice's signature: | | Date: | |

| | | | |
|---|--|--------------|--|
| APPRENTICE DECLARATION | | | |
| I, the apprentice, declare that I understand the requirement to keep up to date with training, and I need to have obtained a sufficient range of workplace experience prior to the completion of my apprenticeship. | | | |
| Name of apprentice | | | |
| Apprentice's signature: | | Date: | |

| | | | |
|---|--|--------------|--|
| APPRENTICE DECLARATION | | | |
| I, the apprentice, declare that I understand the requirement to keep up to date with training, and I need to have obtained a sufficient range of workplace experience prior to the completion of my apprenticeship. | | | |
| Name of apprentice | | | |
| Apprentice's signature: | | Date: | |